

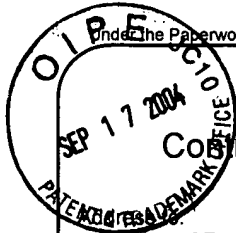
09-20-04

PTO/SB/30 (09-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Mail Stop RCE
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Request For Continued Examination (RCE) Transmittal

Application Number	09/981,459
Filing Date	October 16, 2001
First Named Inventor	Michael H. D'Amico
Art Unit	3714
Examiner Name	Jessica Harrison
Attorney Docket Number	13251US01

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 C.F.R. 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
ii. ☐ Other _____

- b. ☒ Enclosed

- i. ☒ Amendment/Reply
ii. ☐ Affidavit(s)/Declaration(s)
iii. ☐ Information Disclosure Statement (IDS)
iv. ☒ Other Petition for Two Month Extension of Time

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. 1.17(i) required)
b. ☐ Other _____

3. **Fees** The RCE fee under 37 C.F.R. 1.17(e) is required by 37 C.F.R. 1.114 when the RCE is filed.

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. _____
i. ☐ RCE fee required under 37 C.F.R. 1.17(e)
ii. ☐ Extension of time fee (37 C.F.R. 1.136 and 1.17)
iii. ☐ Other _____
b. ☒ Check in the amount of \$ 1,190.00 enclosed
c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print /Type)	Lawrence M. Jarvis	Registration No. (Attorney/Agent)	27,341
Signature		Date	September 17, 2004

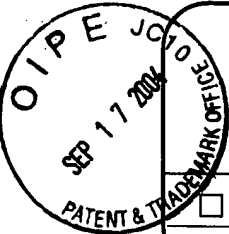
CERTIFICATE OF EXPRESS MAILING No. EL 282689094 US

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express mail in an envelope addressed to: Mail Stop RCE/Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below:

Name (Print /Type)	Winona K. Jackson	Date	September 17, 2004
Signature			

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1190

Complete if Known

Application Number 09/981,459
Filing Date October 16, 2001
First Named Inventor Michael H. D'Amico
Examiner Name Jessica Harrison
Art Unit 3714
Attorney Docket No. 13251US01

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order

☒ Deposit Account:

Deposit
Account
Number 13-0017

Deposit
Account
Name McAndrews, Held & Malloy, Ltd.

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims	-20 **	= 0	X	= 0
Independent Claims	-3 **	= 0	X	= 0
Multiple Dependent			X	= 0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	420.00
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	770.00
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 1190

SUBMITTED BY

Complete (if applicable)

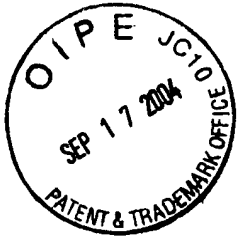
Name (Print/Type) Lawrence M. Jarvis Registration No. (Attorney/Agent) 27,341 Telephone (312) 775-8000
Signature Date September 17, 2004

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Express Mail No.: EL 282689094 US
Dated: September 17, 2004



Patent Application No. 09/981,459
Attorney Docket No. 13251US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

MICHAEL H. D'AMICO et al.

Application No.: 09/981,459

Filed: October 16, 2001

Title: LOCAL DATABASE GAMING SYSTEM TECHNIQUES

Examiner: Jessica Harrison

Group Art Unit: 3714

Confirmation No.: 5919

REQUEST FOR CONTINUED EXAMINATION UNDER 37 C.F.R. § 1.114

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the final Office Action in the above-entitled application, mailed April 19, 2004, and to the advisory action dated August 11, 2004 affirming the Examiner's rejections, Applicant hereby files this Request for Continued Examination (RCE) under 37 C.F.R. § 1.114.

This RCE is accompanied by a check in the amount of \$1,190.00 to cover the fees under 37 C.F.R. § 1.17. In the event that any additional fees are due as a result of the filing of this paper, the Assistant Commissioner is hereby authorized to charge those fees to deposit account

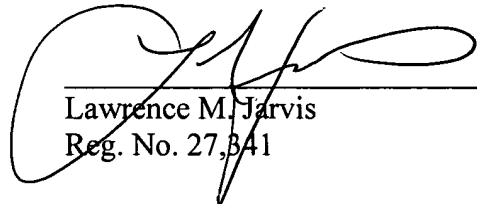
Express Mail No.: EL 282689094 US
Dated: September 17, 2004

No. 13-0017 in the name of McAndrews, Held & Malloy, Ltd. A **duplicate** of this document is co-filed herewith.

As part of the Applicants' RCE, Amendment B is filed together with this paper, and Applicant respectfully requests entry (as a matter of right) of the amendments contained therein.

Respectfully submitted,
McAndrews, Held & Malloy, Ltd.

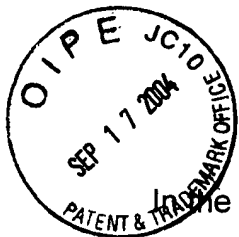
Date: September 17, 2004



Lawrence M. Jarvis
Reg. No. 27,341

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(312) 775-8000 (telephone)
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Express Mail No.: EL 282689094 US
Application No. 09/981,459
Dated: September 17, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Case No. 13251US01

In the Application of:)
)
MICHAEL H. D'AMICO et al.)
)
Application No.: 09/981,459)
)
Filed: October 16, 2001)
)
Title: LOCAL DATABASE GAMING)
SYSTEM TECHNIQUES)
)
Examiner: Jessica Harrison)
)
Group Art Unit: 3714)
)
Confirmation No.: 5919)

AMENDMENT B

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Introductory Comments

This paper responds to the final Office Action in the above-entitled application, mailed April 19, 2004, and the Advisory Action mailed August 11, 2004, as part of the Request for Continued Examination (RCE) in compliance with 37 C.F.R. § 1.114. Applicant respectfully requests entry (as a matter of right) of the amendments submitted herein. A Petition for Extension of Time (two months) is being filed with this paper, so the due date is being extended two months to October 11, 2004.

Amendments to the Claims are reflected in the Listing of Claims which begins on page two of this paper.

Remarks begin on page 9 of this paper.